(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 26 July 2001 (26.07.2001)

PCT

(10) International Publication Number WO 01/53007 A1

(51) International Patent Classification7:

B05D 5/12

- (21) International Application Number: PCT/US00/01432
- (22) International Filing Date: 21 January 2000 (21.01.2000)
- (25) Filing Language:

English

(26) Publication Language:

English

- (71) Applicant (for all designated States except US): MID-WEST RESEARCH INSTITUTE [US/US]; 425 Volker Boulevard, Kansas City, MO 64110 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SCHULZ, Douglas, L. [US/US]; 78 Ripley Road, Medford, MA 02155 (US). CURTIS, Calvin, J. [US/US]; 1620 South Parfet Court, Lakewood, CO 80232 (US). GINLEY, David, S. [—/US]; 33630 Inverness Drive, Evergreen, CO 80439 (US).

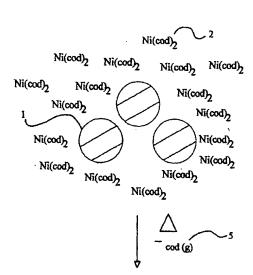
- (74) Agent: WHITE, Paul, J.; National Renewable Energy Laboratory, 1617 Cole Boulevard, Golden, CO 80401 (US).
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report

[Continued on next page]

(54) Title: METHOD FOR FORMING THIN-FILM CONDUCTORS THROUGH THE DECOMPOSITION OF METAL-CHELATES IN ASSOCIATION WITH METAL PARTICLES



(57) Abstract: A process for the preparation of thin-films of conductors from particle (1) precursors is described. The process comprises depositing metal particles and metal chelates (2), mixed or separately, on a substrate (3), treating the composite sufficient to cause decomposition of the metal-chelate (2), and forming an electrically conducting film wherein the decomposition products (4) of the metal-chelate (2) form interparticle conductive bonds.







with amended claims and statement

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/53007 PCT/US00/01432

-1-

METHOD FOR FORMING THIN-FILM CONDUCTORS THROUGH THE DECOMPOSITION OF METAL-CHELATES IN ASSOCIATION WITH METAL PARTICLES

5 Technical Field.

This invention relates to a process for the preparation of particle-derived electrically conductive thin-films by depositing metal particles and metal chelates, mixed or separately, (e.g., Ni metal and Ni(cyclooctadine)₂) on a substrate and heating the composite sufficient to cause decomposition of the metal-chelate complex and the formation of an electrically conducting film with decomposition products of the metal-chelate complex bonding the nanoparticles

Background Art.

While various methods for the production of particle-derived thin-films have been reported, the application of these materials in microelectronics has been hampered by a lack of good interparticle connectivity when applied as films to substrates. This connectivity, both structural and electrical, is in many cases limited by the formation of a passivating layer (i.e., oxide or hydroxide) contaminating the surface of the particles. These passivated surfaces generally give rise to an insulating effect electrically and an impedance in particle sintering structurally.

20

25

10

15

In order to form a thin-film for use in photoconversion and microelectronic device applications, the regions coated with nanoparticles are typically sintered to ensure electrical continuity across the feature. A reduced melting temperature for nanophase metals (C.R.M. Wronski, *Brit. J. Appl.* Phys., (1967)18:1731; J. F. Pocza, A. Barna and P. B. Barna, J. Phys. F. (1972)2:441) and semiconductors (A. N.Goldstein, C.M. Echer and A. P. Alivisatos, *Science*, (1992)256:1425; and A. N. Goldstein, Ph. D. dissertation, University of California at Berkley (1993)) has previously been shown. These disclosures are incorporated by reference herein. High temperature sintering often eliminates some of the problems associated with surface contamination. However, particle-based contacts to photovoltaic semiconductors are an example of devices where standard hightemperature sintering cannot be performed due to thermal limitations associated with the underlying layers. For example, the thermal treatment of a Ni contact onto a Zn0 conducting layer, as the top layer in a CulnSe2 ("CIS") solar cell, is limited to ~200°C for 2 minutes because of the thermal

instability of the underlying solar cell device. Moreover, it has been found that when a 1,2-propanediol slurry of Ni powder is deposited onto a conducting Zn0 film and annealed in air at 200°C for 2 minutes, the resultant Ni contact becomes crumbly in structure and is not electrically conductive.

5

In view of the foregoing considerations, there is an apparent need for a process for the preparation of particle-derived thin-film conductors which are useful in microelectronics, solar conversion technologies, and the like, and characterized by good interparticle structural connectivity and electrical conduction. Accordingly, a primary object of the present invention is to provide a method for forming an electrically conducting particle-derived thin-film on a substrate.

10

Another object of the present invention is to provide a method for forming a metallic film having electrical conductivity and structural connectivity in CIS solar cells.

15

It is yet another object of the present invention to provide a method of forming a Ni film having electrical conductivity and structural connectivity to a ZnO layer in CIS solar cells.

These and other objects of the present invention will become apparent throughout the description of the invention which now follows.

20

Disclosure of the Invention.

25

The present invention provides a process for forming thin-films of conductors from particles. The process comprises depositing metal particles and metal-chelates, mixed or separately, on a substrate to form a thin film composite, and heating the composite in such a manner as to cause decomposition of the metal-chelate complex thereby forming an electrically conducting composite film matrix wherein the particles are bonded by the decomposition products of the metal-chelates. Mixtures of metallic particles and metal-chelates can be applied onto a substrate heated by a susceptor having a relatively high temperature such that the temperature is high enough to evaporate the solvent and cause thermal decomposition of the metal complex. Alternatively, the particle/metal chelate mixture can be applied onto a substrate at moderate temperature such that the temperature is high enough to evaporate the solvent but not decompose the chelate with metal chelate decomposition occurring in a subsequent thermal, photochemical, or laser treatment.

Unless specifically defined otherwise, all technical or scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

Brief Description of the Drawings.

Figure 1, is a schematic of processing steps used to generate a thin-film according to an embodiment of the invention.

Description of the Preferred Embodiments.

The present invention provides a process for forming thin-films of conductors from particle precursors. One example of a conducting thin-film, according to the present invention, is the formation of a composite nickel contact useful in the fabrication of CIS solar cells. The method provides the formation of electrically conducting particle-derived films whereby a mixture of particles, such as nickel, and metal chelates, such as Ni(cyclooctadiene)₂, are deposited on a substrate and through thermal or photolytic decomposition of the metal chelates, a conducting matrix of particles and metallic complex decomposition products is formed. While the example which follows describes in detail the formation of a nickel conducting film, using nickel nanoparticles (less than 10 nm) in a metal chelate complex, as a preferred embodiment of the process of the invention it is specifically within the scope of the invention to use particles larger than 10 nm.

Figure 1 is a general schematic drawing of the processing steps used to generate a nickel conductor film having electrical conductivity and structural connectivity to a ZnO layer in CIS solar cells. In Figure 1, a mixture is prepared comprising Ni particles (~6 nm) (1) and metal-chelate complex (2), in a solvent. Metal-chelate (2) is Ni (cyclooctadiene)₂ (Ni(cod)₂ in the drawing)) in a tetrahydrofuran solvent. The mixture is slurry deposited onto substrate (3), such as the ZnO overlay in a CIS solar cell. The substrate is heated above the boiling point of the solvent and then above the decomposition temperature of the chelate complex (2) whereby chelate complex (2) decomposes to form Ni metallic decomposition products (4) and volatile cyclooctadiene (5). Stable composite conducting assemblage, shown

25

5

10

15

20

WO 01/53007

-4-

PCT/US00/01432

generally as, (6) is thereby formed as an electrically conducting film on substrate (3). Careful control of the annealing ambient is an important factor in the decomposition phase to ensure formation of the desired products. For example, the thermal decomposition of a metal-chelate complex under nitrogen, hydrogen, or non-flammable hydrogen and nitrogen mixture gas (10%H₂ makeup N₂), may result in the metallic product phase while decomposition in air or oxygen could give rise to a metal oxide. The use of metal-chelates that are readily decomposed using photons (that is, photochemical decomposition) provides an alternative route to thermal decomposition.

10

5

Polycrystalline photovoltaic cell technology has occasioned the use of many particle-derived film layer systems. These particles include, without limitation, all metals having properties as conductors, such as Ni, Au, Al, Ag, Mo: transparent conductors, such as ZnO, and SnO₂ often used in contact grid layers; III-V semiconducting compounds such as GaAs, GaP, GaInP₂, GaSb, InAs, InP, InSb, AlAs, AIP, and AlSb; and group II-VI semiconducting compounds such as CdS, CdSe, CdTe, HgTe, ZnS, ZnSe and ZnTe, and also Sb-Te. These compounds and their application in solid state electronic devices are well known, in other than particle form. Moreover, the particle-derived films, according to the invention herein, can be formed on any suitable surface or substrate, for example on glass, carbon, silicon, silica, alumina, boria, titania, or thermally stable polymers such as aramids, carbonates, esters, and the like.

20

WO 01/53007 PCT/US00/01432

-5-

Claims

 A process for forming an electrically conducting film on a substrate, comprising, depositing metal particles and metal-chelates, mixed or separately, on a substrate to form a thin film composite, treating the composite sufficient to cause the decomposition of the metal chelate, and forming an electrically conducting film assemblage wherein decomposition products of the metal chelate form a conductive bond.

- 2. The process of claim 1 wherein treating the composite is by heat.
- 3. The process of claim 1 wherein treating the composite is by photolytic action.
- 4. A process for forming an electrically conducting film on a substrate, comprising, mixing metal particles and metal-chelates in a solvent, depositing the mixture on a substrate, evaporating the solvent, decomposing the metalchelates, and bonding of the metal particles by the metal-chelate decomposition products.
- 5. The process of claim 2 wherein the particle metal is nickel.
- 6. The process of claim 3 wherein the metal-chelate is selected from a group consisting of metal carboxylates, metal β-diketonates, metal amides, metal organometallics and metal alkoxides.
- 7. The process of claim 4 wherein the metal-chelate complex metal is nickel(cyclooctadiene).
- 8. The process of claim 5 wherein the substrate is zinc oxide.
- 9. The process of claim 6 wherein decomposing the metal-chelate is by heating in air.
- 10. The process of claim 7 wherein heating is less than 200°C for 2 minutes.
- 11. The process of claim 1 wherein the particles comprise nanoparticles having a size in the range of 1-10 nm.
- 12. The process of claim 4 wherein the particles comprise nanoparticles having a size in the range of 1-10 nm.

30

5

10

15

20

AMENDED CLAIMS

[received by the International Bureau on 9 June 2000 (09.06.00); original claims 1 - 12 amended; new claims 13 - 17 added; remaining claims unchanged (2 pages)]

- 1. A process of forming an electric conductor on a substrate, consisting essentially of depositing metal particles and a metal-chelate on the substrate and annealing the deposit, wherein annealing decomposes the metal chelate into decomposition products, consolidates the metal particles and a decomposition product of the metal chelate in the formation of the conductor, and bonds the conductor to the substrate.
- 2. The process of claim 1 wherein annealing is heating.
- 3. The process of claim 1 wherein annealing is photolytic action.
- 4. The process of claim 1 wherein the metal particle comprises nickel.
- 5. The process of claim 1 wherein the metal-chelate is an organic decomposition compound selected from a group consisting of metal carboxylates, metal \(\text{B-diketonates}, \) metal amides, metal organometallics and metal alkoxides.
- 6. The process of claim 1 wherein the substrate is ZnO.
- 7. The process of claim 4 wherein the metal-chelate is nickel(cyclooctadiene).
- 8. The process of claim 7 wherein annealing comprises heating at about 200° C or less, for about 2 minutes.
- 9. The process of claim 8 wherein the metal particles have a mean particle diameter of about 1 to 10 nanometers.
- 10. A process for forming an electric conductor on a substrate, consisting essentially of mixing metal particles and a metal-chelate in a solvent, depositing the mixture on the substrate, evaporating the solvent, and annealing the deposit, wherein annealing decomposes the metal chelate into decomposition products, consolidates the metal particles and a decomposition product of the metal chelate in the formation of the conductor, and bonds the conductor to the substrate.
- 11. The process of claim 10 wherein annealing is heating.
- 12. The process of claim 10 wherein annealing is photolytic action.
- 13. The process of claim 10 wherein the metal particle comprises nickel.
- 14. The process of claim 10 wherein the metal-chelate is an organic decomposition compound selected from a group consisting of metal carboxylates, metal \(\textit{B}\)-diketonates, metal amides, metal organometallics and metal alkoxides.
- 15. The process of claim 13 wherein the metal-chelate is nickel(cyclooctadiene).

- 16. The process of claim 15 wherein annealing comprises heating at about 200° C or less, for about 2 minutes.
- 17. The process of claim 16 wherein the metal particles have a mean particle diameter of about 1 to 10 nanometers

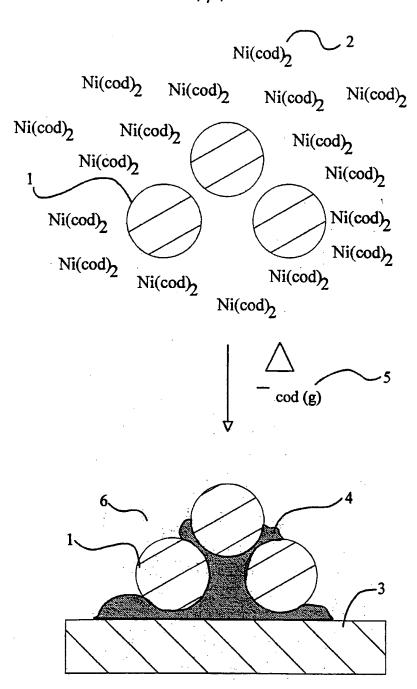


FIG. 1